**SMU MSDS 7336 – ML2**

**Week 1: Introduction to Deep Learning**

**1. Evolution of Machine Learning**

**Introduction and Context**

The field of machine learning has its roots in the early days of artificial intelligence, dating back to the 1950s. However, it wasn't until the 1980s and 1990s that machine learning began to emerge as a distinct discipline, with the development of key algorithms such as decision trees, support vector machines, and neural networks. Machine learning can be broadly categorized into three main types: supervised learning, unsupervised learning, and reinforcement learning. In supervised learning, the model is trained on labeled data, where the desired output is known for each input. This allows the model to learn a mapping from inputs to outputs, which can then be applied to new, unseen data. Examples of supervised learning tasks include image classification, sentiment analysis, and regression. Unsupervised learning, on the other hand, involves training the model on unlabeled data, where the goal is to discover hidden patterns or structures in the data. This can be used for tasks such as clustering, dimensionality reduction, and anomaly detection. Reinforcement learning is a third paradigm, where the model learns through interaction with an environment, receiving rewards or penalties based on its actions. This is commonly used in applications such as game playing and robotics.

Deep learning is a subfield of machine learning that has gained significant attention in recent years. It is based on the use of artificial neural networks with many layers, hence the term "deep". The key advantage of deep learning is its ability to automatically learn hierarchical representations of data, without the need for manual feature engineering. This has led to breakthroughs in a wide range of applications, including computer vision, natural language processing, and speech recognition. For example, deep convolutional neural networks (CNNs) have achieved human-level performance on tasks such as image classification and object detection, while recurrent neural networks (RNNs) and transformers have enabled significant advances in machine translation and language modeling.

The success of deep learning can be attributed to several factors. Firstly, the availability of large-scale datasets, such as ImageNet for computer vision and Wikipedia for natural language processing, has enabled the training of deep models on vast amounts of data. Secondly, the development of powerful hardware, particularly graphics processing units (GPUs), has made it possible to train deep models efficiently. Finally, the open-source nature of many deep learning frameworks, such as TensorFlow and PyTorch, has facilitated rapid experimentation and collaboration within the research community.

The evolution of deep learning frameworks has been a key driver of progress in the field. Early frameworks, such as Theano and Caffe, provided basic building blocks for constructing neural networks, but were often difficult to use and limited in their functionality. The introduction of TensorFlow by Google in 2015 marked a significant milestone, providing a more user-friendly and flexible framework for building and training deep models. This was followed by the release of PyTorch by Facebook in 2016, which emphasized dynamic computation graphs and ease of use for research. Since then, both frameworks have continued to evolve, with the addition of high-level APIs, such as Keras for TensorFlow and FastAI for PyTorch, making deep learning more accessible to a wider audience.

The mathematical foundations of deep learning are rooted in the field of optimization. The goal is to find the set of parameters θ that minimize a loss function L(θ), which measures the discrepancy between the model's predictions and the true labels. This is typically done using gradient descent, where the parameters are updated iteratively according to the following update rule:

Here, α is the learning rate, which controls the step size of the updates, and  is the gradient of the loss function with respect to the parameters at iteration t. The gradient is computed using the backpropagation algorithm, which recursively applies the chain rule to compute the gradients of the loss with respect to each parameter in the network. This allows the gradients to be computed efficiently, even for deep networks with millions of parameters.

**Basic Neural Network Concepts**

The foundation of neural networks lies in logistic regression, which is a statistical method used for binary classification. In logistic regression, the input features are linearly combined and passed through a sigmoid activation function to produce a probability output between 0 and 1. This concept can be extended to create a single neuron, also known as a perceptron, which forms the building block of neural networks. A single neuron takes input features, multiplies them by weights, adds a bias term, and applies an activation function to produce an output.

Neural networks are composed of multiple layers of interconnected neurons. The basic architecture consists of an input layer, one or more hidden layers, and an output layer. The input layer receives the input features, while the hidden layers perform nonlinear transformations on the data. The output layer produces the final predictions or classifications. Each neuron in a layer is connected to every neuron in the adjacent layers through weighted connections. These weights represent the strength of the connections and are learned during the training process. Additionally, each neuron has an associated bias term that allows for shifting the activation function.

Forward propagation is the process by which input data is passed through the neural network to generate predictions. In this process, the input features are multiplied by the weights of the connections between the input layer and the first hidden layer. The weighted sum is then passed through an activation function, such as the sigmoid or rectified linear unit (ReLU), to introduce nonlinearity. The output of the activation function becomes the input for the next layer, and this process is repeated until the output layer is reached. The choice of activation function depends on the specific problem and the desired properties of the network.

The sigmoid activation function, defined as   is commonly used in the output layer for binary classification tasks. It maps the input values to a range between 0 and 1, representing the probability of the input belonging to a particular class. However, the sigmoid function has some drawbacks, such as the vanishing gradient problem, which can slow down the learning process in deep networks. To overcome this, the rectified linear unit (ReLU) activation function, defined as f(x)=max(0,x), has gained popularity. ReLU is computationally efficient and helps alleviate the vanishing gradient problem by allowing gradients to flow more easily through the network.

Loss functions play a crucial role in training neural networks. They measure the discrepancy between the predicted outputs and the true labels, providing a way to quantify the network's performance. The choice of loss function depends on the task at hand. For binary classification, common loss functions include binary cross-entropy, defined as , where y is the true label and  is the predicted probability. For multi-class classification, categorical cross-entropy is often used, which is an extension of binary cross-entropy to handle multiple classes. Mean squared error (MSE) is a common loss function for regression tasks, defined as , where yi is the true value and  is the predicted value for the i-th sample.

**Deep Learning Frameworks: PyTorch vs TensorFlow**

PyTorch and TensorFlow are two of the most popular deep learning frameworks used in the field of data science. While both frameworks provide powerful tools for building and training neural networks, they differ in their philosophy and approach. PyTorch, developed by Facebook, emphasizes dynamic computation graphs and eager execution, allowing for more flexibility and easier debugging. On the other hand, TensorFlow, created by Google, relies on static computation graphs and delayed execution, which can lead to better performance optimization and deployment in production environments.

The dynamic computation graphs in PyTorch enable users to define and modify the structure of the neural network on-the-fly, making it more intuitive and easier to experiment with different architectures. This dynamic nature also facilitates debugging, as errors can be identified and fixed immediately. In contrast, TensorFlow's static computation graphs require the complete definition of the network architecture before execution, which can be less flexible but more efficient for large-scale deployments. However, with the introduction of eager execution in TensorFlow 2.0, the framework now offers a more PyTorch-like development experience while still maintaining its static graph capabilities.

Both PyTorch and TensorFlow provide a wide range of tensor operations, which are fundamental building blocks for constructing neural networks. These operations include mathematical functions such as matrix multiplication, element-wise addition, and convolution, as well as data manipulation functions like reshaping, slicing, and concatenation. Understanding these basic tensor operations is crucial for effectively utilizing either framework. To illustrate the usage of these operations, consider a simple example of a fully connected neural network layer in both PyTorch and TensorFlow:

PyTorch:

import torch

import torch.nn as nn

input = torch.randn(batch\_size, input\_size)

weights = torch.randn(input\_size, output\_size)

bias = torch.randn(output\_size)

output = nn.functional.relu(torch.matmul(input, weights) + bias)

TensorFlow:

import tensorflow as tf

input = tf.random.normal(shape=[batch\_size, input\_size])

weights = tf.random.normal(shape=[input\_size, output\_size])

bias = tf.random.normal(shape=[output\_size])

output = tf.nn.relu(tf.matmul(input, weights) + bias)

PyTorch has gained significant popularity in the research community due to its dynamic nature and ease of use. Researchers often prefer PyTorch for its ability to quickly prototype and experiment with new ideas, as well as its strong support for custom neural network architectures. The framework's simplicity and flexibility make it an attractive choice for exploring novel approaches and pushing the boundaries of deep learning research.

TensorFlow, on the other hand, has established itself as the go-to framework for production-level deep learning applications. Its static computation graphs and various optimization techniques make it well-suited for deploying models in large-scale, high-performance environments. TensorFlow's ecosystem also includes a wide range of tools and libraries, such as TensorFlow Serving for model serving and TensorFlow Lite for mobile and embedded devices, which further facilitate the deployment and integration of deep learning models in real-world applications.

**1.1 Historical Context**

The progression from traditional ML to deep learning:

* Rule-based systems (1950s-1960s)
* Classical ML algorithms (1970s-1990s)
* Deep learning revolution (2010s-present)

Key breakthroughs:

# Example: Traditional ML vs Deep Learning approach

# Traditional ML: Manual feature engineering

def traditional\_features(image):

edges = detect\_edges(image)

corners = detect\_corners(image)

textures = compute\_textures(image)

return np.concatenate([edges, corners, textures])

# Deep Learning: Learned feature extraction

class ConvNet(nn.Module):

def \_\_init\_\_(self):

super().\_\_init\_\_()

self.features = nn.Sequential(

nn.Conv2d(3, 64, 3),

nn.ReLU(),

nn.MaxPool2d(2)

)

**1.2 Why Deep Learning?**

Advantages over traditional ML:

* Automatic feature learning
* Better scaling with data
* Hierarchical representations
* End-to-end learning

**2. Neural Network Fundamentals**

**2.1 Basic Building Blocks**

The neuron as a computational unit:

class Neuron:

def \_\_init\_\_(self, input\_dim):

self.weights = np.random.randn(input\_dim)

self.bias = np.random.randn()

def forward(self, x):

z = np.dot(x, self.weights) + self.bias

return self.activation(z)

def activation(self, z):

return max(0, z) # ReLU activation

**2.2 Layer Types**

Common neural network layers:

import numpy as np

class DenseLayer:

def \_\_init\_\_(self, input\_dim, output\_dim):

# Initialize with He initialization

self.weights = np.random.randn(input\_dim, output\_dim) \* np.sqrt(2/input\_dim)

self.bias = np.zeros(output\_dim)

def forward(self, x):

return np.dot(x, self.weights) + self.bias

**3. Deep Learning Frameworks**

**3.1 PyTorch**

Modern dynamic computation framework:

import torch

import torch.nn as nn

# Define model

class SimpleNet(nn.Module):

def \_\_init\_\_(self):

super().\_\_init\_\_()

self.fc1 = nn.Linear(784, 128)

self.fc2 = nn.Linear(128, 10)

self.relu = nn.ReLU()

def forward(self, x):

x = self.relu(self.fc1(x))

return self.fc2(x)

# Training loop

def train\_step(model, data, target, optimizer):

optimizer.zero\_grad()

output = model(data)

loss = nn.CrossEntropyLoss()(output, target)

loss.backward()

optimizer.step()

return loss.item()

**3.2 TensorFlow/Keras**

Static computation graph approach:

import tensorflow as tf

# Define model

model = tf.keras.Sequential([

tf.keras.layers.Dense(128, activation='relu'),

tf.keras.layers.Dense(10)

])

# Compile and train

model.compile(optimizer='adam',

loss=tf.keras.losses.SparseCategoricalCrossentropy(from\_logits=True),

metrics=['accuracy'])

**4. Basic Training Concepts**

**4.1 Data Handling**

Efficient data loading and preprocessing:

def prepare\_data(X, y, batch\_size=32):

dataset = torch.utils.data.TensorDataset(

torch.FloatTensor(X),

torch.LongTensor(y)

)

return torch.utils.data.DataLoader(

dataset,

batch\_size=batch\_size,

shuffle=True

)

**4.2 Training Loop**

Basic training structure:

def train\_epoch(model, dataloader, optimizer, device):

model.train()

total\_loss = 0

for batch\_idx, (data, target) in enumerate(dataloader):

data, target = data.to(device), target.to(device)

optimizer.zero\_grad()

output = model(data)

loss = criterion(output, target)

loss.backward()

optimizer.step()

total\_loss += loss.item()

return total\_loss / len(dataloader)

**5. Deep Learning Applications**

**5.1 Computer Vision**

Basic image processing:

class ConvolutionalNet(nn.Module):

def \_\_init\_\_(self):

super().\_\_init\_\_()

self.conv1 = nn.Conv2d(3, 64, 3)

self.pool = nn.MaxPool2d(2)

self.fc = nn.Linear(64 \* 14 \* 14, 10)

def forward(self, x):

x = self.pool(torch.relu(self.conv1(x)))

x = x.view(x.size(0), -1)

return self.fc(x)

**5.2 Natural Language Processing**

Text processing basics:

class TextNet(nn.Module):

def \_\_init\_\_(self, vocab\_size, embed\_dim):

super().\_\_init\_\_()

self.embedding = nn.Embedding(vocab\_size, embed\_dim)

self.lstm = nn.LSTM(embed\_dim, 128, batch\_first=True)

self.fc = nn.Linear(128, 1)

def forward(self, x):

x = self.embedding(x)

x, \_ = self.lstm(x)

return self.fc(x[:, -1, :])

**6. Best Practices**

**6.1 Model Development**

Key principles:

* Start simple
* Validate frequently
* Monitor metrics
* Debug systematically

def develop\_model():

# 1. Start with baseline

model = SimpleNet()

# 2. Add validation

val\_score = validate(model, val\_loader)

# 3. Monitor metrics

logger.log\_metrics({

'val\_score': val\_score,

'model\_size': count\_parameters(model)

})

# 4. Systematic improvements

model = add\_improvements(model)

return model

**6.2 Common Pitfalls**

Avoiding typical mistakes:

* Not normalizing inputs
* Wrong learning rate
* Poor initialization
* Inadequate validation

**Summary**

Key takeaways:

1. Deep learning automates feature engineering
2. Framework choice affects development workflow
3. Good practices are essential for success
4. Start simple and iterate